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\* Admitted in NY only  
\*\* Passed the Patent Bar Examination  
\*\*\* Admitted in TX only

November 19, 2001

Re: U.S. Patent Application Serial No. 09/801,185  
Title: *Human Antibodies That Bind Human TNFa*  
Filed: March 7, 2001  
Inventors: Jochen G. Salfeld, *et al.*  
Our Reference No.: BBI-043CPUSCN

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Preliminary Amendment and Response to Notice To File Missing Parts of Nonprovisional Application (*in duplicate*) (2 pages);
2. Copy of Notice to File Missing Parts of Nonprovisional Application (Formalities Letter) (2 pages);
3. Transmittal Letter for Diskette Containing Sequence Listing (1 page);
4. Diskette containing Computer Readable Form of Sequence Listing;
5. Hard (paper) copy of Sequence Listing (*pages 1-13*);
6. Request for Correction of Filing Receipt (*in duplicate*) (2 pages);
7. Copy of Filing Receipt with corrections noted thereon in red ink;
8. Request for Five-Month Extension (1 page);
9. Check in the amount of \$870.00 (basic (\$740.00) and late filing fees (\$130.00));
10. Check in the amount of \$1,960.00 (five-month extension fee); and
11. Return postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. A duplicate of this sheet is enclosed. Applicants request any extensions necessary to respond.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on:

November 19, 2001

Date

Elizabeth A. Hanley

Reg. No. 33,505

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

  
Elizabeth A. Hanley, Esq.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,185	03/07/2001	Jochen G. Salfeld	BBI-043CPUSCN

CONFIRMATION NO. 1672

000959

LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

FORMALITIES LETTER



\*OC000000005986118\*

Date Mailed: 04/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/28/2002 PROCHA. 00000058 09801185

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 DP  
02 FC:105 130.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Jochen G. Salfeld, *et al.*

Serial No.: 09/801,185

Filed: March 7, 2001

For: *HUMAN ANTIBODIES THAT BIND HUMAN TNF $\alpha$*

Attorney Docket No.: BBI-043CPUSCN

Group Art Unit: 1644

Examiner: Not Assigned

COPY

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**Certificate of First Class Mailing (37 C.F.R. §1.8(a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date set forth below.

\_\_\_\_\_  
Date of Signature and of Mail Deposit

By: \_\_\_\_\_

Elizabeth A. Hanley, Esq.  
Reg. No. 33,505  
Attorney for Applicants

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sir:

The Filing Receipt for this patent application is missing priority data as claimed by the applicant. The priority data list reads as follows:

THIS APPLICATION IS A CON OF 09/125,098 03/16/1999  
WHICH IS A 371 OF PCT/US97/02219 02/10/1997  
WHICH CLAIMS BENEFIT OF 60/031,476 11/25/1996

The corrected priority date list should read as follows:

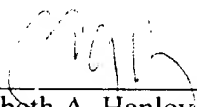
THIS APPLICATION IS A CON OF 09/125,098 03/16/1999  
WHICH IS A 371 OF PCT/US97/02219 02/10/1997  
WHICH CLAIMS BENEFIT OF 60/031,476 11/25/1996 AND  
**08/599,226 02/09/96**

Applicants respectfully request that the Filing Receipt be corrected. Applicants also enclose a copy of the Filing Receipt with the corrections noted thereon in red ink.

Inasmuch as these errors appear to be on the part of the Patent Office, no fees are believed to be due in order to correct the filing receipt. However, please charge any fees necessary for consideration and entry of this communication to our Deposit Account No. 12-0080. *A duplicate of this communication is enclosed.*

Dated: **November 19, 2001**

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

  
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Elizabeth A. Hanley, Esq.  
Registration No. 33,505  
Attorney for Applicants



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/801,185	03/07/2001	1644	0.00	BBI-043CPUSCN		1	1

CONFIRMATION NO. 1672

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

## FILING RECEIPT



\*OC000000005986117\*

Date Mailed: 04/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Assignment For Published Patent Application

BASF Aktiengesellschaft;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/125,098 03/16/1999  
WHICH IS A 371 OF PCT/US97/02219 02/10/1997  
WHICH CLAIMS BENEFIT OF 60/031,476 11/25/1996 and

08/599, 226

02/9/1996

## Foreign Applications